



# RESPONSIBLE MINERALS SOURCING POLICY

CODE  
**CDQ012 iss 2**  
Amd 0 07/21

ORIGINATION DATE  
**JUL 21**

## 1.0 Background

- a) The United Nations Group of experts on the Democratic Republic of Congo (DRC) found that the trade of certain minerals [Columbite – Tantalite (Coltan / Tantalum), Cassiterite (Tin), Wolframite (Tungsten) and Gold] referred to as ‘Conflict Minerals’ (3TG), is helping to finance the civil conflict in the DRC including extreme levels of violence, sexual and gender based violence.
- b) In July 2010 the “Dodd-Frank” Wall Street Reform and Consumer protection Act came into force in the US that includes section 1502(b) on Conflict Minerals. Due diligence focuses on the DRC and its nine adjoining countries - ‘Conflict regions’ are identified by Responsible Minerals Initiative.
- c) August 2012 the US Secretaries and Exchange commission (SEC) released final rules requiring all public companies to disclose and report annually to the SEC if the minerals they use on their products (Tin, Tantalum, Tungsten and Gold) originating from DRC and adjoining countries.
- d) In January 2021, the Conflict Minerals Regulation came into effect across the European Union (EU). Due diligence focuses on Conflict-Affected or High-Risk Areas (CAHRAs). Companies will be required to develop their own CAHRAs list based on their risk readiness assessment. This will effect EU and Non EU Companies.

## 2.0 Purpose

This document contains Amphenol Invotec Ltd.’s Policy regarding Responsible Minerals Sourcing within our supply chain.

## 3.0 Scope

This document defines Amphenol Invotec Ltd.’s due diligence requirement and expectation of our supply chain.

## 4.0 Policy Statement

Amphenol Invotec Ltd is aware of the allegations that certain minerals mined in the DRC and other Conflict-Affected or High-Risk Areas may be making its way into the electronics supply chain.

Amphenol Invotec Ltd can advise that to the best of our knowledge none of our products contain Coltan, Tantalum or Tungsten. We can also advise that, although not currently recognised as a ‘Conflict Mineral’, none of our products contain Cobalt.

We can however also confirm that based on the surface finish specified by our customers, our products may contain Tin and / or Gold.

Due to the complexities / length of the Tin and Gold supply chain, Amphenol Invotec Ltd cannot verify the mining origin of all Tin and Gold used in its products.

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ORIGINATOR <b>G HANDLEY</b>	RELATED PROCESS INSTRUCTION <b>SM01</b>	DISPOSITION AFTER RETENTION PERIOD <b>Shred &amp; Recycle</b>		SECTION <b>11</b>	PAGE <b>1 of 2</b>
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However we do expect that all of our immediate suppliers of Tin and Gold products:

1. Recognise these concerns.
2. Inform us immediately should then become aware that 'Conflict Minerals' as defined above are in products supplied to us.
3. Cascade these requirements down to their suppliers to push this down the supply chain.

At this time, based on the feedback from our immediate suppliers, we can advise that to the best of our knowledge none of our products contain 'Conflict Minerals' as defined above.

A copy of our Conflict Minerals Reporting Template is available upon request.

A copy of our Cobalt Reporting Template is available upon request.

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